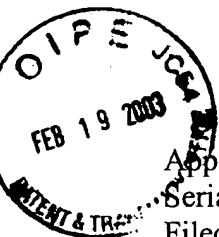


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant : Abraham I. Zeigler  
 Serial No. : 09/401,875  
 Filed : September 23, 1999  
 Title : MONTAGE FOR AUTOMATED MARKET SYSTEM

Art Unit : 3624  
 Examiner : Campen, Kelly Scaggs

Commissioner for Patents  
 Washington, D.C. 20231

#10/a  
 2/25/03

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**GROUP 3600**

RESPONSE

In response to the action mailed October 7, 2002, please amend the application as follows:

In the claims:

Please amend claims 1-10, 12-14, 16-20, 22-24, as follows:

(Amended) 1. A method of managing quotes for a security, the method comprising:

receiving from a market participant additional aggregate quotes having a size, the additional aggregate quotes being quotes that are displayable as trading interest in a security, but not being attributable to any market participant.

(Amended) 2 The method of claim 1 wherein receiving further comprises:  
 receiving quotes from market participants that are displayable quotes and attributable to corresponding ones of the market participants.

(Amended) 3. The method of claim 1 wherein receiving further comprises:  
 receiving quotes that are reserve quotes, which are neither displayable quotes nor attributable to market participants, but are available as trading liquidity in the security.

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 7, 2003  
 Date of Deposit

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LENORE H. FRANCIS  
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02/19/2003 CNGUYEN 00000059 09401875

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